(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau

(43) International Publication Date
24 December 2003 (24.12.2003)

PCT

(10) International Publication Number
WO 03/107707 A1

(51) International Patent Classification[7]: H04Q 7/38, H04B 7/005

(21) International Application Number: PCT/IB02/02181

(22) International Filing Date: 13 June 2002 (13.06.2002)

(25) Filing Language: English

(26) Publication Language: English

(71) Applicant *(for all designated States except US)*: **NOKIA CORPORATION** [FI/FI]; Keilalahdentie, FIN-02150 Espoo (FI).

(72) **Inventors; and**
(75) **Inventors/Applicants** *(for US only)*: **PEDERSEN, Klaus, Ingemann** [FI/FI]; c/o Nokia Corporation, Keilalahdentie 4, FIN-02150 Espoo (FI). **WIGARD, Jeroen** [FI/FI]; c/o Nokia Corporation, Keilalahdentie 4, FIN-02150 Espoo (FI). **MOGENSEN, Preben** [FI/FI]; c/o Nokia Corporation, Keilalahdentie 4, FIN-02150 Espoo (FI).

(74) **Agents: LESON, Thomas, Johannes, Alois** et al.; TBK-Patent, Bavariaring 4-6, 80336 München (DE).

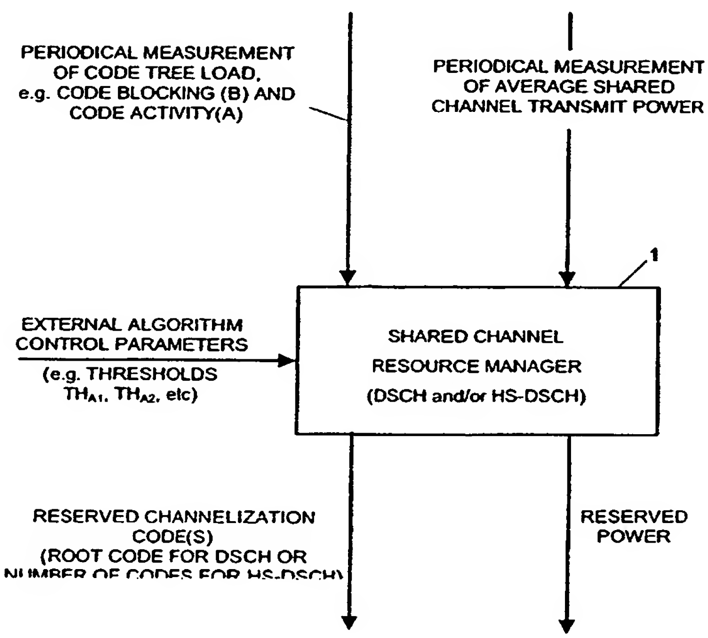
(81) **Designated States** *(national)*: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

(84) **Designated States** *(regional)*: ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:
— *with international search report*

[Continued on next page]

(54) Title: METHOD, SYSTEM, AND NETWORK ENTITY, FOR ADAPTIVE RESERVATION OF CHANNELIZATION CODES AND POWER



(57) Abstract: The invention provides a method, system, and network entity for adaptive setting or reservation of channelization codes and/or power for downlink channel in a communication network, in particular for DSCH and HS-DSCH, using parameters (PtxDSCHallowed, SFmin) for minimum allowed Spreading Factor, SF, and/or allowed power level, the parameters being set depending on the traffic load, the total cell load and/or the availability of channelization codes.

WO 03/107707 A1

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

TITLE

METHOD, SYSTEM, AND NETWORK ENTITY, FOR ADAPTIVE RESERVATION OF CHANNELIZATION CODES AND POWER

FIELD AND BACKGROUND OF THE INVENTION

The invention generally relates to adaptive reservation of channelization codes and/or power for downlink, preferably for the DSCH (downlink shared channel) and/or the HS-DSCH (high speed downlink shared channel) which is part of the HSDPA (High Speed Downlink Packet Access) concept.

The downlink shared channel (DSCH) in UTRAN (Universal Terrestrial RAN (Radio Access Network)) is a packet channel which is time shared by multiple users. The DSCH may be mapped to one or multiple PDSCHs (Physical Downlink Shared Channels) having a spreading factor between 4 and 256. The DSCH offers high data-rates and fast scheduling with bit rate modification every 10 ms, which makes it attractive for bursty packet applications such as web browsing, etc. The HS-DSCH can be regarded as an enhanced DSCH which offers bit rate modification every 2 ms as well as adaptive modulation and coding. The HS-DSCH is mapped to HS-PDSCH (high speed physical downlink shared channel).

In order to facilitate fast bit rate modification, a certain set of channelization codes is usually reserved for each DSCH as illustrated in Figure 1. This means that time delays due

to release and setup of new codes can be avoided. However, this is done at the expense of potentially wasting part of the limited code resources when the PDSCH is using the higher spreading factors. It were therefore of advantage when the reserved codes are adjusted adaptively according to traffic load in the cell, among others.

Link Adaption (LA) techniques are commonly used for control (i.e. bit rate selection) of the DSCH. LA aims at minimizing the transmit power variations of the PDSCHs by transmitting with lower bit rates to UEs (User Equipments) which are far from the BS (Base Station) compared to those close to the BS. The selected bit rate for each UE can be expressed as a function of the power allowed for the PDSCH and the associated DPCH ($P_{txPDSCHallowed}$ & P_{txDPCH}), the planned EbNo's for the channels (ρ_{PDSCH} & ρ_{DPCH}), and the bit rate of the associated DPCH (R_{DCH}) (DPCH = Dedicated Physical Channel). According to the LA criteria, the bit rate to be allocated a user is therefore expressed as

$$R_{DSCH,LA} = Round\left\{\frac{P_{txPDSCHallowed}\,\rho_{DPCH}}{P_{txDPCH}\,\rho_{PDSCH}} R_{DCH}\right\} \qquad (1)$$

where *Round{}* denotes truncation to the nearest possible bit rate. That can e.g. be 32 kbps, 64 kbps, etc., depending on the reserved channelization codes. Knowledge of P_{txDPCH} is obtained through average measurements.

Provided that there are sufficient data to be transmitted on the PDSCH, the LA algorithm will automatically result in the following property:

$$E\{P_{\alpha PDSCH}\} \cong P_{\alpha PDSCHallowed}\,, \qquad (2)$$

where the mathematical operator $E\{\}$ takes the expectation over time. If the relation in equation (2) is false, then it indicates that the DSCH is being poorly exploited. Various reasons for this could be that too much power has been reserved for the PDSCH under the given traffic load or that channelization code blocking is occurring, where the intended LA bit rates according to equation (1) are limited by the minimum allowed spreading factor, i.e. $R_{DSCH}<R_{DSCH,LA}$. Even though equation (2) is valid, there might still be room for optimization by allowing a larger fraction of power to be reserved for the PDSCH. Effective utilization of the DSCH by using LA does therefore depend on the settings of $P_{txDSCHallowed}$ and the spreading factor of the root channelization code, SF_{min}.

SUMMARY OF THE INVENTION

It is an object of the present invention to provide for adaptive setting or reservation of channelization codes and/or power for the downlink, e.g. DSCH and HS-DSCH.

According to the present invention this object is achieved by a method according to any of the independent method claims and/or a system according to any of the independent system claims.

The invention provides a system, method, and network entity, for adaptive reservation of channelization codes and/or

power, preferably for DSCH and HS-DSCH.

According to one aspect, a method, system, and/or network entity are provided for adaptive setting or reservation of channelization codes and/or power for downlink channel in a communication network, in particular for DSCH and HS-DSCH, using parameters ($P_{txDSCHallowed}$, SF_{min}) for minimum allowed Spreading Factor, SF, and/or allowed power level, the parameters being set depending on the traffic load, the total cell load and/or the availability of channelization codes.

The adaptive setting or reservation of codes and/or power is conducted per logical cell. There is no coordination between setting or reservation of codes and/or power resources from one cell to another.

It is one of the advantages of the invention that the reserved codes are adjusted adaptively according to traffic load in the cell, among others.

The presented algorithm opens for effective utilization of the DSCH when using link adaptation techniques, as well as the HS-DSCH. Especially for cases where the BS carriers a mixture of RT (Real Time) and NRT (Non Real Time) users, which are mapped to different channel types, such as FACH (Forward Access Channel), DCH (Dedicated Channel), DSCH (Downlink Shared Channel), and HS-DSCH (High Speed Downlink Shared Channel). The algorithm optimizes the usage of both code and power resources. This will in general result in a capacity gain or improved quality in terms of lower queuing times for NRT user, less blocking/dropping, etc.

As mentioned above, the algorithm provides a gain in terms of

increased system capacity and/or quality for cells using the DSCH and/or HS-DSCH.

The presented algorithm opens for effective utilization of the DSCH when using link adaptation techniques as well as the HS-DSCH. The invention discloses a method for adaptive adjustment of root spreading factor and DSCH power. The adaptation is preferably based on three kind of measurements:
1. The average transmitted power P_txDSCHest of the PDSCH,
2. The relative activity factor A of the PDSCH,
3. The weighted code blocking rate B.

The invention also presents a territory method for channelization code allocation. The following definitions of code territories is introduced:

- Dedicated DSCH capacity
- Default DSCH capacity
- Additional DSCH capacity

Further features and advantages of the present invention are defined in the following.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows a schematic block diagram illustrating DSCH code allocation policy in an embodiment of the present invention,

Fig. 2 illustrates an example of allocated bit rates and Tx power for the DSCH in an embodiment of the invention,

Fig. 3 shows another example of allocated bit rates and Tx power for the DSCH in an embodiment of the invention,

Fig. 4 illustrates further examples of the DSCH behaviour before and after adjustment of the reserved Tx power level in an embodiment of the invention,

Fig. 5 shows an illustration of territory regions for DSCH/HS-DSCH code allocation schemes according to embodiments of the invention, and

Fig. 6 shows a schematic block diagram illustrating an embodiment of the present invention.

BRIEF DESCRIPTION OF PREFERRED EMBODIMENTS OF THE INVENTION

As mentioned above, effective utilization of the DSCH by using LA depends on the settings of $P_{txDSCHallowed}$ and the spreading factor of the root channelization code, SF_{min}. The present invention provides adaptive algorithms for adjustment of these parameters.

Once it has been decided which spreading factor the root code should have, the next task is to determine which node in the tree to reserve. An algorithm for this part is also disclosed herein. This algorithm is basically based on a dynamic territory partition of the code tree, which is derived to avoid situations where the code tree becomes highly fragmented. Using this approach, a trunking efficient solution is provided for typical scenarios where the code tree is shared between user equipments (UEs) on DCH, DSCH,

FACH, etc.

The HS-DSCH specified within 3GPP, as part of the HSDPA concept, also requires adaptive algorithms for reservation of code resources as well as power levels. This is basically due to the fact that it is assumed within 3GPP, that the HS-DSCH is operated with constant power, i.e. no power control.

The constant HS-DSCH power level should, however, be periodically adaptively adjusted according to load conditions in the cell as well as other factors. The algorithm specified in the present invention is therefore equally applicable to HS-DSCH. This applies also for cases where the code resources for the HS-DSCH is changed dynamically in order to facilitate variable bit rates and for cases where varying number of multi codes are applied.

In the following, the adaptive adjustment of root spreading factor and DSCH power will be described. The optimum setting of the two parameters ($P_{txDSCHallowed}$ and SF_{min}) depends on the traffic load as well as the total cell load (measured by power) and the availability of channelization codes. These factors are all considered to be time-variant, which leads to the conclusion that $P_{txDSCHallowed}$ and SF_{min} preferably are adaptively adjusted in order to optimize the overall cell performance. It is proposed here to base the adaptation on three kinds of measurements, seen over a certain observation period. These measurements are:1) the average transmitted power of the PDSCH, $P_{txDSCHest}$
2) the relative activity factor A of the PDSCH. The activity factor *A*, equals zero if the PDSCH is silent during the observation and 0.5 if the DSCH is active 50% of the time with the observation period. Hence, A ranges from 0 to 1. ;

3) the weighted code-blocking rate, *B*. This factor is defined as the relative time during the observation period, where a larger bit rate could have been allocated to a UE according to the LA criteria in equation (1) compared to the actually assigned bit rate when taking the minimum allowed SF into account. Hence, B ranges from 0 to 1. If B=0, then it indicates that at no time during the observation period did it occur that the minimum allowed SF was too high.

In order to further underline the meaning of these measures, let us consider two examples.

Fig. 2 shows an example where three different UEs have been transmitted on the PDSCH during the observation period. UE #3 is apparently located close to the BS, since it has the highest bit rate. UE #1 is far from the BS, since it has been allocated a relative low bit rate. During the last scheduling window in the observation period, there are no data available for transmission on the DSCH. This gap in the transmission is typically too short for dedicated channels to be scheduled during this period, i.e. some capacity is wasted.

For this particular case, we have A=0.75, B=0 ($R_{DSCHmax}$ is not exceeded), and $P_{txDSCHest}$=0.75$P_{txDSCHallowed}$.

Fig. 3 presents another case, where UE #3 actually could have been allocated a higher bit rate according to the LA criteria (1), but a lower bit rate was allocated according to the minimum allowed SF. The lower bit rate for UE #3, automatically results in a lower average Tx power during the period where UE #3 is receiving data. This is not desirable according to the LA criteria expressed in (2), i.e. a lower minimum SF should be reserved (if possible).

For this particular case, we have A=1.0 , B=0,25 (25% of the time a higher bit rate could have been assigned), and $P_{txDSCHest} < P_{txDSCHallowed}$.

Based on these examples, four simplified criterias are proposed for adjustment of the allowed power level and the minimum allowed SF.

If A is smaller than TH_{A1}, and $P_{txDSCHest}$ is smaller than $P_{txPDSCHallowed}$ minus a certain defined or set value (e.g. threshold value) X ($A<TH_{A1}$ and $P_{txDSCHest}<(P_{txPDSCHallowed} - X)$), then decrease the reserved power, preferably by the value X, or a fraction thereof.

The reason is that when the activity on the DSCH is too low to keep it almost constant busy, one option is to reduce the reserved power level, which automatically will result in smaller assigned bit rates and therefore also longer transmit times, i.e. a higher activity on the channel. This is obvious from equation (1). The threshold parameter TH_A which lies between 0 and 1 and X are strongly related. Assuming that the offered traffic is identical in two consecutive observation periods, it can be shown that setting TH_A=10^(-XdB/10) results in fulfilment of equation (2) in the following observation period provided that $A \cong TH_A$ in the previous period.

If A is greater than TH_{A2}, and $P_{txDSCHest}$ is greater than $P_{txPDSCHallowed}$ minus the value X ($A>TH_{A2}$ and $P_{txDSCHest}>P_{txPDSCHallowed} - X$), then increase the allowed power, preferably by X.

The reason for this is that only when there is constant high activity on the DSCH (e.g. TH_{A2}=0.9) and the power level is close to or higher than the reserved value, it makes sense to increase the reserved power level. If the activity factor is lower than unity, it implicitly indicates that there are no packets in the queue, i.e. no need for increased power (capacity). However, before we increase the reserved power level, we must of course consider the total power level in the cell in order to avoid saturation or clipping in the downlink power amplifier (PA).

If B is greater than TH_B, and A is greater than TH_{A2} ($B>TH_B$ and $A> TH_{A2}$), then decrease SF_{min} (allowing higher bit rates): The reason herefore is that if it happens more than a certain fraction of the observation period ($TH_B \in [0;1]$), that higher bit rates than $R_{DSCHmax}$ are requested according to the LA criteria in equation (1) and the DSCH is constantly busy, then one should try to increase $R_{DSCHmax}$, i.e. decrease SF_{min} with a factor of two. However, one should only perform this action if A is close to unity. If A<<1, then it indicates that the DSCH is not constantly busy so a better solution to the problem is probably to lower the reserved power level, i.e. this would reduce the likelihood of code blocking events and help in fulfilment of equation (2).

If B equals zero, and L_{code} is greater than TH_{code} (B=0 and $L_{code}>TH_{code}$), then increase SF_{min} (max bit rate is decreased).

The reason herefore is that for cases where code limitation problems are absent some of the reserved channelization codes are preferably released by increasing SF_{min} with a factor of two. This helps to reduce the likelihood of code blocking for

DPCHs. However, it only makes sense to increase SF_{min} if there is a potential need for additional channelization codes. Hence, the action is only performed if $L_{code}>TH_{code}$, meaning if the code tree is already heavily loaded. Here TH_{code} is a threshold parameter and L_{code} is the current load of the code tree obtained from the resource manager (RM).

The effect of the criteria for reducing the reserved power level is illustrated in Fig. 4. The black curves correspond to the high reserved power level, while the blue curve corresponds to the reserved power level after the adjustment. The current example correspond to the case where A=0.5 and $P_{txDSCHest}$= 0.5$P_{txDSCHreserved}$ prior to adjustment, and X=3 dB. For this particular case, as well as for other cases, the problem is obviously solved by reducing the power.

The HS-DSCH also benefits from adaptive algorithms for reservation of code resources as well as power levels. The algorithm specified in the present invention is equally applicable the HS-DSCH. Both for cases where the code resources for the HS-DSCH is changed dynamically in order to facilitate variable bit rates.

With regard to "territory method" for channelization code allocation: Once it has been decided to reserve a new root PDSCH code with a given SF, the next step is to decide where in the code tree this reservation is to be made. As an example, it is assumed that a code with SF=8 should be reserved. For that particular case there might actually be on the order of 1-6 availavable nodes (codes) in the tree. If one just randomly selects a node in the tree, one eventually reaches a situation where the code-tree becomes highly fracmented and difficult to manage as new users are being

admitted and dropped (due to ended calls).

In order to avoid such situations, a generic method is proposed here where different strategies for code assignment are used depending on the channel type (say DCH, DSCH, FACH, etc). This method will be called "the territory method" in the sequel.

The basic principle for the method is illustrated in Fig. 5, where codes for DSCH (HS-DSCH) basically are assigned in the tree starting from the left according to Fig. 5, or more generally starting from a certain limb of the code tree. Hence, codes assigned for DCH users should primarily be done in the right part of the code tree according to the illustration of Fig. 5, or more generally starting from another limb, different from the certain limb, of the code tree. In order to describe the method the following definitions of code territories are introduced:

Dedicated DSCH capacity: In cases where a maximum SF for the DSCH always should be reserved (i.e. guaranteed minimum bit rate), part of the tree is reserved for DSCH and HS-DSCH. The codes in this part of the tree cannot be used for other users, say DCH.

Default DSCH capacity: The default capacity is always allocated to DSCH territory (to be used by HS-DSCH and DSCH) when the total code tree load allows this. This is basically what is expressed in above sections in particular relating to adaptive adjustment of root spreading factor and DSCH [illegible], for the criteria for increase of SF_{min}. Here the SF is only increased if the code tree is highly loaded.

Additional DSCH capacity: When the default capacity is allocated to the DSCH territory, additional code resources might be needed if the DSCH is highly loaded. The upgrade to a lower SF is done by including part of the codes in the "additional DSCH territory" region, provided that free codes are available. Once the traffic load on the DSCH start to decline and and the criteria for increase of SFmin in the above sections relating to adaptive adjustment of root spreading factor and DSCH power is triggered, the additional DSCH territory is downgraded.

The part of the code tree which is used by DSCH (HS-DSCH) is called **DSCH_territory**. At the start this is set equal to the **default DSCH territory**, whereafter the **DSCH-territory** is dynamically updated, based on the load in the different parts of the tree and the criterias listed in the above sections related to adaptive adjustment of root spreading factor and DSCH power.

The **dedicated DSCH capacity** can be used to always guarantee some part of the tree for DSCH (HS-DSCH) users, so they get some service even though the rest of the network for example is occupied by real time users.

The **additional DSCH capacity** can be set to the whole tree, but some part of the tree can be kept out of the additional territory. Advantage of this is that when other users are placed in this part, then they have not to be replaced, when the DSCH territory is to be increased. For this increase it may be necessary to reallocate the RT users in parts of the tree, which belong to the additional territory.

Approximate parameter settings corresponding to best mode of

algorithm are: TH_{A1}=0.5, TH_{A2}=0.9, TH_B=0.1, and TH_{Code}=0.8. These settings will result in an effective and robust algorithm. However, depending on the actual system configuration there might of course be room for optimization of these parameter settings.

One of the preferred methods for operation of the DSCH is to use LA. If LA is applied, adaptive allocation of code and power resources are required in order to ensure effective utilization of the DSCH.

The current working assumption within 3GPP is to operate the HS-DSCH channel with constant power. A robust algorithm for adaptive adjustment of the power level as well as reserved code resources are therefore provided by the invention for optimum management of the HS-DSCH.

Fig. 6 shows a schematic block diagram illustrating an embodiment of the present invention. A shared channel resource manager 1 (DSCH and/or HS-DSCH) receives several inputs for evaluating and optimising or improving channel resources and/or power. The shared channel resource manager 1 receives measurement results, i.e. data gained by periodical measurement of code tree load, e.g. code blocking (B) and code activity(A), as well as data gained by periodical measurement of average shared channel transmit power. Further, control parameters, preferably external algorithm control parameters (e.g. thresholds TH_{A1}, TH_{A2}, etc), are supplied to the channel resource manager 1.

The channel resource manager 1 generates outputs for controlling code reservation and power, e.g. reserved channelization code(s) (root code for DSCH or number of codes

for HS-DSCH), and reserved power. The channel resource manager 1 calculates the reservation of channelization code(s) and power in accordance with the above explained principles.

The proposed algorithm runs on cell level.

The present invention for code reservation also applies to the channel type HS-DSCH for the HSDPA concept, where the number of codes with SF=16 is adaptively adjusted, i.e. SF is constant.

The drawings are self-explanatory and represent full disclosure of aspects of preferred embodiments of the invention of their own value, even regarding those features which are not explicitly described in the above description.

While the invention has been described with reference to preferred embodiments, the description is illustrative of the invention and is not to be construed as limiting the invention. Various modifications and applications may occur to those skilled in the art without departing from the scope of the invention as e.g. defined by the appended claims.

CLAIMS

1. A method for adaptive setting or reservation of channelization codes and/or power for downlink channel in a communication network, , using parameters (SF_{min}, $P_{txDSCHallowed}$) for minimum allowed Spreading Factor, SF, and/or allowed power level, the parameters being set depending on the traffic load, the total cell load and/or the availability of channelization codes.

2. The method of claim 1, wherein three kinds of measurements are performed:

1. Average transmitted power of a physical shared downlink channel (PDSCH),
2. Relative activity factor A of the PDSCH,
3. Weighted code blocking rate B,

and adaptive adjustment of root spreading factor and power is based on these three kinds of measurements.

3. The method of claim 1 or 2, wherein a criteria for adjustment of the allowed power level is:

if A is smaller than TH_{A1}, and $P_{txDSCHest}$ is smaller than ($P_{txPDSCHallowed}$ − X), then decrease the reserved power, preferably by X or a fraction thereof,

A representing *an* activity factor of the downlink channel, TH_{A1} *a* threshold parameter, $P_{txDSCHest}$ the estimated power of the downlink channel, $P_{txPDSCHallowed}$ the power allowed for the downlink channel, and X a certain set value.

4. The method of claim 1, 2, or 3, wherein a criteria for adjustment of the allowed power level is:

if A is greater than TH_{A2}, and $P_{txDSCHest}$ is greater

than ($P_{txPDSCHallowed}$ - X), then increase the allowed power by X, A representing an activity factor of the downlink channel, TH_{A2} a threshold parameter, $P_{txDSCHest}$ the estimated power of the downlink channel, $P_{txPDSCHallowed}$ the power allowed for the downlink channel, and X a certain set value.

5. The method of any one of the preceding claims, wherein a criteria for adjustment of the minimum spreading factor, SF_{min} , is:

if B is greater than TH_B, and A is greater than TH_{A2}, then decrease SF_{min} (allow higher bit rates), B representing a weighted code-blocking rate, A an activity factor of the downlink channel, and TH_B and TH_{A2} threshold values.

6. The method of any one of the preceding claims, wherein a criteria for adjustment of the minimum spreading factor, SF_{min} , is:

if $B = 0$ (zero), and L_{code} is greater than TH_{code}, then increase SF_{min} (maximum bit rate is decreased), B representing a weighted code-blocking rate, L_{code} a current load of a code tree, and TH_{code} a threshold parameter.

7. The method of any one of the preceding claims, wherein a method for channelization code allocation comprises a step of reserving a new root code with a given spreading factor (Spreading Factor), and a subsequent step of deciding where in a code tree this reservation is to be made.

8. The method of claim 7, wherein codes for downlink basically are assigned in the code tree starting from a certain limb of the code tree, and codes are assigned for users primarily in another limb of the code tree.

9. The method of claim 7 or 8, wherein a default capacity is allocated to a territory, e.g. DSCH territory to be used by HS-DSCH and DSCH, when the total code tree load allows this, wherein spreading factor SF is only increased if the code tree is highly loaded.

10. The method of any one of the preceding claims, wherein total cell load is measured by power.

11. A system for adaptive setting or reservation of channelization codes and/or power for downlink channel in a communication network, using parameters ($P_{txDSCHallowed}$, SF_{min}) for minimum allowed Spreading Factor, SF, and/or allowed power level, the parameters being set depending on the traffic load, the total cell load and/or the availability of channelization codes.

12. The system of claim 11, being adapted to perform three kinds of measurements:

1. Average transmitted power of a physical shared downlink channel (PDSCH),
2. Relative activity factor A of the PDSCH,
3. Weighted code blocking rate B,

and to base adaptive adjustment of root spreading factor and power on these three kinds of measurements.

13. The system of claim 11 or 12, wherein a criteria for adjustment of the allowed power level is:

if A is smaller than TH_{A1}, and $P_{txDSCHest}$ is smaller than ($P_{txPDSCHallowed}$ - X), then decrease the reserved power, preferably by X or a fraction thereof,

A *representing an* activity factor of the downlink channel,

TH_{A1} a threshold parameter, $P_{txDSCHest}$ the estimated power of the downlink channel, $P_{txPDSCHallowed}$ the power allowed for the downlink channel, and X a certain set value.

14. The system of claim 11 or 12, wherein a criteria for adjustment of the allowed power level is:

if A is greater than TH_{A2}, and $P_{txDSCHest}$ is greater than ($P_{txPDSCHallowed}$ − X), then increase the allowed power by X,
A representing an activity factor of the downlink channel, TH_{A2} a threshold parameter, $P_{txDSCHest}$ the estimated power of the downlink channel, $P_{txPDSCHallowed}$ the power allowed for the downlink channel, and X a certain set value.

15. The system of any one of the preceding system claims, wherein a criteria for adjustment of the minimum spreading factor, SF_{min} , is:

if B is greater than TH_B, and A is greater than TH_{A2}, then decrease SF_{min} (allow higher bit rates),
B representing a weighted code-blocking rate, A an activity factor of the downlink channel, and TH_B and TH_{A2} threshold values.

16. The system of any one of the preceding system claims, wherein a criteria for adjustment of the minimum spreading factor, SF_{min} , is:

if $B = 0$ (zero), and L_{code} is greater than TH_{code}, then increase SF_{min} (maximum bit rate is decreased),
B representing a weighted code-blocking rate, L_{code} a current load of a code tree, and TH_{code} a threshold parameter.

17. The system of any one of the preceding system claims, wherein a method for channelization code allocation comprises a step of reserving a new root code with a given

spreading factor SF, and a subsequent step of deciding where in a code tree this reservation is to be made.

18. The system of claim 17, wherein codes for downlink basically are assigned in the code tree starting from a certain limb of the code tree, and codes are assigned for users primarily in another limb of the code tree.

19. The system of claim 17 or 18, wherein a default capacity is allocated to a territory, e.g. DSCH territory to be used by HS-DSCH and DSCH, when the total code tree load allows this, wherein spreading factor SF is only increased if the code tree is highly loaded.

20. The system of any one of the preceding system claims, being adapted to measure the total cell load by measuring power.

21. A network entity, preferably to be used in a method as defined in any one of the preceding method claims, or in a system as defined in any one of the preceding system claims, for adaptive setting or reservation of channelization codes and/or power for downlink channel in a communication network, in particular for DSCH and HS-DSCH, using parameters ($P_{txDSCHallowed}$, SF_{min}) for minimum allowed Spreading Factor, SF, and/or allowed power level, the parameters being set depending on the traffic load, the total cell load and/or the availability of channelization codes.

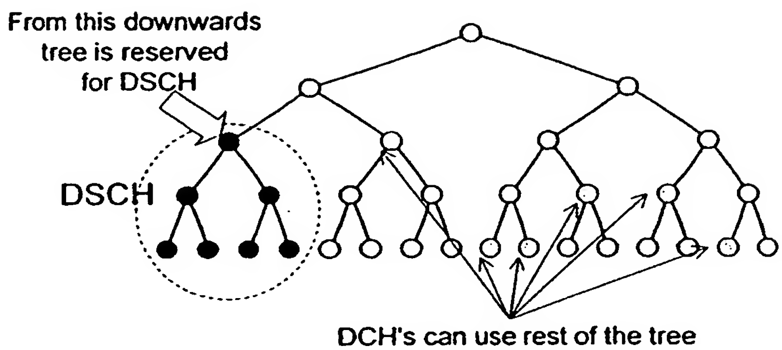


FIG. 1

DSCH code allocation policy.

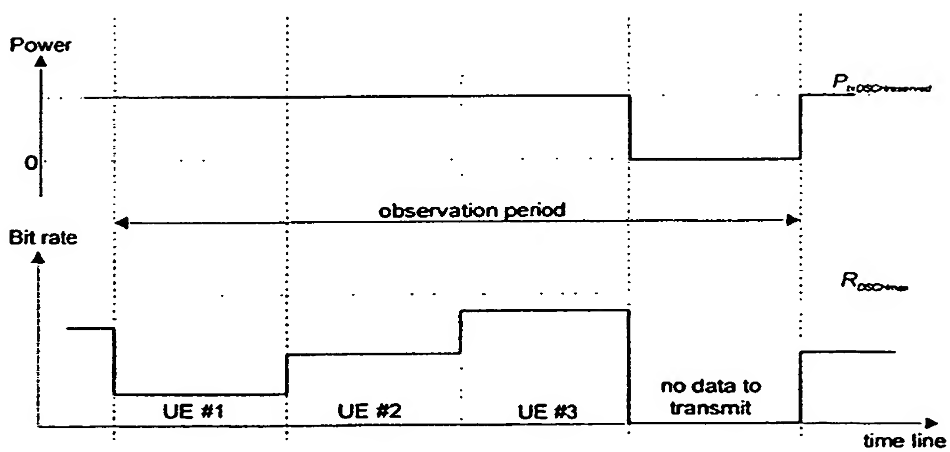


FIG. 2

Example of allocated bit rates and Tx power for the DSCH.

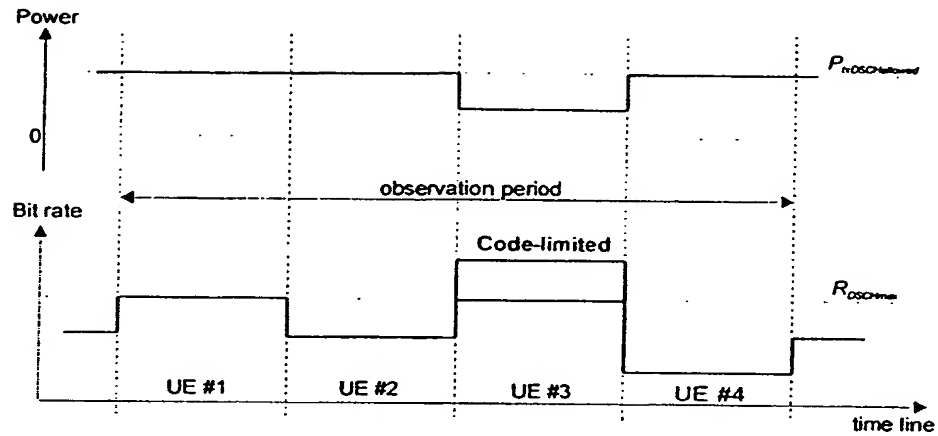


FIG. 3

Example of allocated bit rates and Tx power for the DSCH.

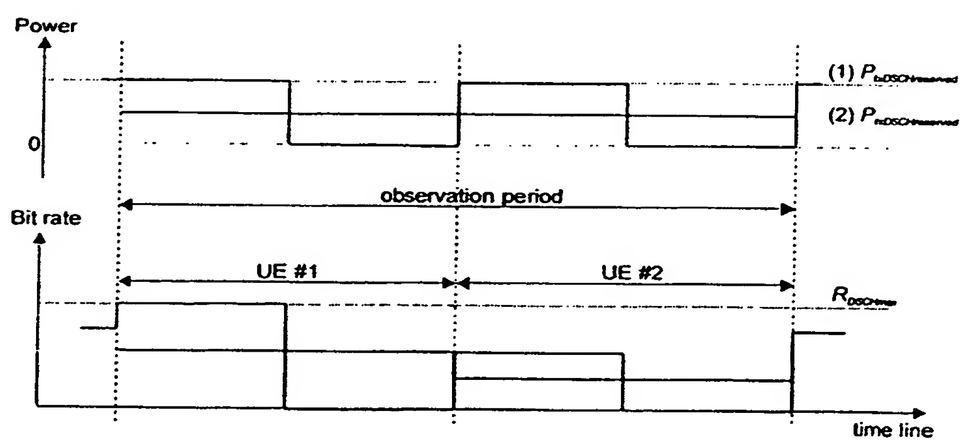


FIG. 4

Examples of the DSCH behaviour before and after adjustment of the reserved Tx power level.

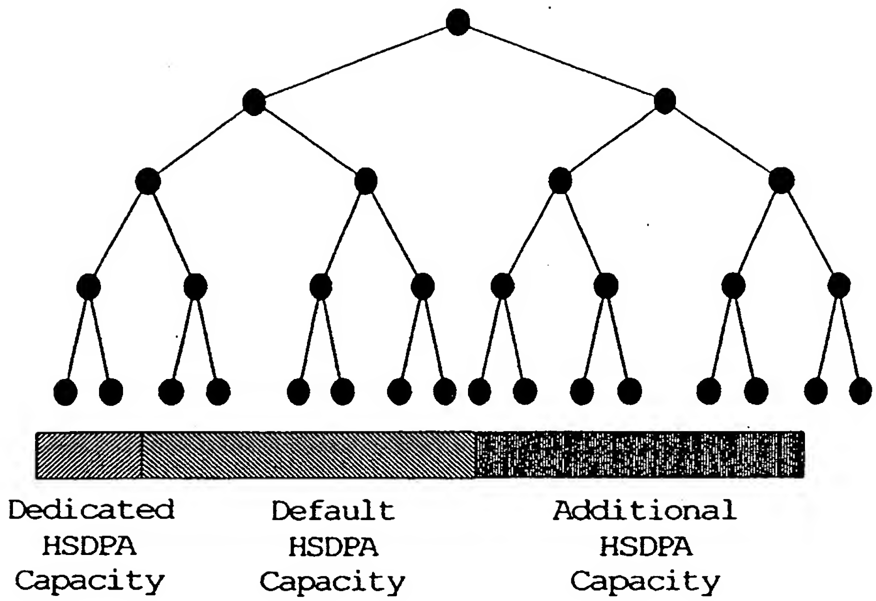


FIG. 5

Illustration of territory regions for DSCH/HSDPA code allocation schemes.

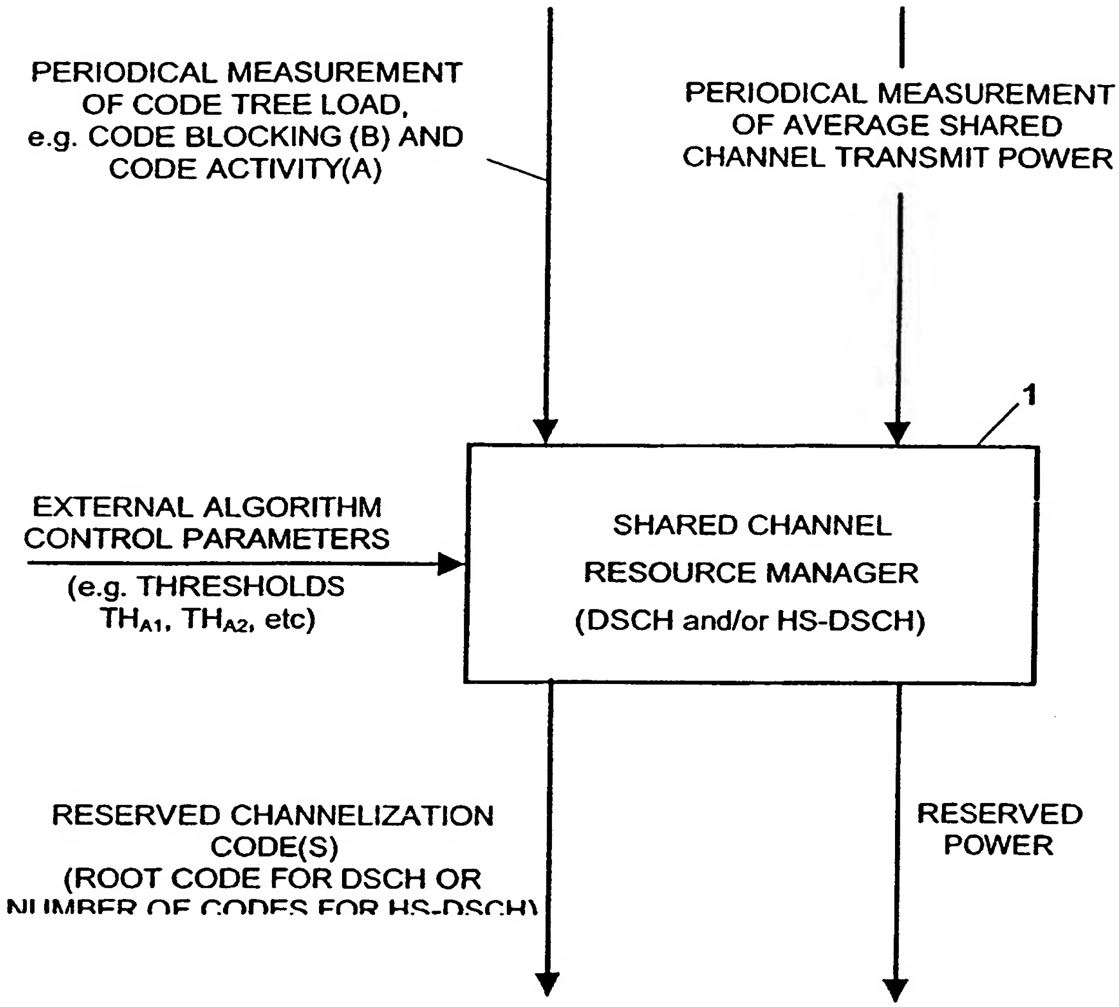

PERIODICAL MEASUREMENT
OF CODE TREE LOAD,
e.g. CODE BLOCKING (B) AND
CODE ACTIVITY(A)
PERIODICAL MEASUREMENT
OF AVERAGE SHARED
CHANNEL TRANSMIT POWER
1
EXTERNAL ALGORITHM
CONTROL PARAMETERS
(e.g. THRESHOLDS
TH_{A1}, TH_{A2}, etc)
SHARED CHANNEL
RESOURCE MANAGER
(DSCH and/or HS-DSCH)
RESERVED CHANNELIZATION
CODE(S)
(ROOT CODE FOR DSCH OR
NUMBER OF CODES FOR HS-DSCH)
RESERVED
POWER

FIG. 6

INTERNATIONAL SEARCH REPORT

Intern   l Application No
PCT/IB 02/02181

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7   H04Q7/38   H04B7/005

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7   H04Q   H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, INSPEC, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 1 209 936 A (LUCENT TECHNOLOGIES INC) 29 May 2002 (2002-05-29) page 4, line 1 -page 5, line 3 page 5, line 50 -page 6, line 25	1,10,21
A		2-9, 11-20

X	WO 02 45291 A (NOKIA CORP ;RAITOLA MIKA (FI)) 6 June 2002 (2002-06-06) page 8, line 1-32	1,10,21
A		2-9, 11-20

	-/--	

[X] Further documents are listed in the continuation of box C.

[X] Patent family members are listed in annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
5 February 2003	20. 02. 2003

Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	JOHANNA SCHYBERG/JA A

INTERNATIONAL SEARCH REPORT

International Application No
PCT/IB 02/02181

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	BORGONOVO F ET AL: "Packet service in UMTS: delay-throughput performance of the downlink shared channel" COMPUTER NETWORKS, 15 JAN. 2002, ELSEVIER, NETHERLANDS, vol. 38, no. 1, pages 43-59, XP002230031 ISSN: 1389-1286 Section 5 abstract ---	1-21
A	EP 1 035 676 A (LUCENT TECHNOLOGIES INC) 13 September 2000 (2000-09-13) abstract -----	1-21

INTERNATIONAL SEARCH REPORT

International application No.
PCT/IB 02/02181

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☒ Claims Nos.: 1,11,21
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.

2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1,11,21

The present claims 1, 11 and 21 provide for a large number of possible methods and systems. These possibilities differ widely within the area and the description fail to support all possibilities. In fact, the claims
contain many options that a lack of clarity and conciseness within the meaning of Article 6 PCT arises to such an extent as to render a meaningful
search of the claims impossible.

Consequently, the search only has been carried out for those parts of claims 1, 11 and 21, which appear to be supported and disclosed, namely a method and system for adaptive resource allocation of a physical shared channel by adjusting power or spreading factor. The method/system implies that three parameters are considered; average transmitted power, relative activity factor and weighted code blocking rate. Claims 2 and 11 are considered to disclose the invention. Hence, the dependent claims have been interpreted as dependent on claim 2 and 11.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT

International Application No
PCT/IB 02/02181

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
EP 1209936	A	29-05-2002	EP	1209936 A1	29-05-2002
			EP	1209940 A1	29-05-2002
			EP	1220490 A1	03-07-2002
			JP	2002204257 A	19-07-2002
			US	2002089952 A1	11-07-2002
WO 0245291	A	06-06-2002	AU	1229802 A	11-06-2002
			WO	0245291 A1	06-06-2002
EP 1035676	A	13-09-2000	EP	1035676 A1	13-09-2000
			AU	2816000 A	28-09-2000
			WO	0054444 A1	14-09-2000
			JP	2002539676 T	19-11-2002